

VICAL INC Reported by RAMOS ANTHONY ALAN

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 10/22/14 for the Period Ending 10/21/14

Address 10390 PACIFIC CENTER COURT

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SAN DIEGO, CA 92121-4340

Telephone 858-646-1100

CIK 0000819050

Symbol VICL

SIC Code 2836 - Biological Products, Except Diagnostic Substances

Industry Biotechnology & Drugs

Sector Healthcare

Fiscal Year 12/31



] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|---|--|---|---|--|--|---|--|--|--|--|--|---|---|---|--|--|
| ony Alar | 1 | | | VICA | AL INC | C [VIO | CL | <u>[</u>] | | | | (Check an | аррпсас | nc) | | |
| (Last) (First) (Middle) | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | Director 10% Owner | | | | |
| | | | | | | | | | | | | | cer (give title | e below) | Othe | er (specify |
| 10390 PACIFIC CENTER COURT | | | | 10/21/2014 | | | | | | | | | | | | |
| (Street) | | | | | | ent, Date | O | Original | File | ed | | 6. Individ | ual or Joi | | | eck |
|), CA 92 | 121 | | | | | | | | | | | | | | | |
| (State) | | (Zip) | | | | | | | | | | | | | | n |
| | Tab | ole I - Nor | ı-Dei | rivative | Securi | ties Acq | ui | red, Di | spos | sed o | of, or B | Seneficially | y Owned | • | | |
| 1.Title of Security (Instr. 3) | | | | Date, if | Code (Instr. 8) | | Acquired Disposed | Acquired (A) or Disposed of (D) Foll | | | lowing Reported Transaction(s) | | | Ownership of Inc Form: Benef Direct (D) Owner | Beneficial Ownership | |
| | | | | | any | Code | V | Amount | (A) or (D) | Price | : | | | | (I) (Instr. 4) | (Instr. 4) |
| 1 par value | | | 10 | /21/2014 | | S (1) | | 428 | D | \$1.18 | | 879 | 936 (2) | | D | |
| ıble II - De | rivati | ive Securi | ties] | Benefic | cially O | wned (e | .g. | . , puts, | cal | ls, wa | arrant | s, options | , convert | ible secur | ities) | • |
| | Trans. | Deemed Execution Date, if any | Trans. Code Instr. | Deriva Securi Acquin Dispos (Instr. 5) | Disposed of (D) (Instr. 3, 4 and 5) | | and Expiration Date Date Expiration | | | Securities Underly Derivative Securi (Instr. 3 and 4) | | Derivative Security (Instr. 5) | of derivative Securities Beneficially Owned Following Reported Transaction | Form of Derivative Security: Direct (D) or Indirect (I) (Instr. | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|) | (First) (FIC CEN (Street) (Street) (State) (State) (State) (State) (State) (State) | (First) FIC CENTE (Street) D, CA 92121) (State) Tab Di par value 2. 3. Trans. One or Exercise Price of Derivative | (First) (Middle) FIC CENTER COUI (Street) D, CA 92121) (State) (Zip) Table I - Nor Table II - Derivative Securion or Exercise Price of Derivative Security A Security Securion (Annual County of Securion of Securion of Securion of Security (Annual County of Securion of Securion of Securion of Securion of Security (Annual County of Securion of Securion of Securion of Security (Annual County of Securion of | (First) (Middle) FIC CENTER COURT (Street) D, CA 92121) (State) (Zip) Table I - Non-Detention of Exercise Price of Derivative Security April 19 | (First) (Middle) 3. Date FIC CENTER COURT (Street) 4. If A (MM/DI D, CA 92121 (State) (Zip) Table I - Non-Derivative 2. Trans. Date 2. Conversion or Exercise Price of Derivative Security Price of Derivative Securities Benefic (Instr. 8) Acquired by the price of Derivative Security Acquir | (First) (Middle) 3. Date of Early (First) (Middle) 3. Date of Early 4. If Amendme (MM/DD/YYYY) 4. If Amendme (MM/DD/YYYY) 5. CA 92121 (State) (Zip) Table I - Non-Derivative Securities 2. Trans. Date 2. Trans. Date 10/21/2014 10/21/2014 2. Conversion or Exercise Price of Derivative Securities Price of Derivative Security 2. Trans. Code (Instr. 8) 3. Date of Early 4. If Amendme (MM/DD/YYYY) 4. If Amendme (MM/DD/YYYY) 4. If Amendme (MM/DD/YYYY) 5. Number of Derivative Securities Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | (First) (Middle) 3. Date of Earliest Trans (Street) 4. If Amendment, Date (MM/DD/YYYY) (Middle) 5. CA 92121 (State) (State) (Zip) Table I - Non-Derivative Securities Acq 2. Trans. Date 2. Trans. Date Date, if any Code (Instr. 8) Code (Instr. 8) Able II - Derivative Securities Beneficially Owned (execution or Exercise Price of Derivative Security Date, if any And Experimentary Date (Instr. 8) Code (Instr. 8) Code (Instr. 8) Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Date | (First) (Middle) 3. Date of Earliest Transation (Middle) 3. Date of Earliest Transation (Middle) 4. If Amendment, Date Comparison (Middle) Table I - Non-Derivative Securities Acquired (Instr. 8) 10/21/2014 2. Trans. Date 10/21/2014 2. Conversion or Exercise Price of Derivative Securities Acquired (A) or Derivative Security 10/21/2014 3. Date of Earliest Transation (Middle) 4. If Amendment, Date Comparison (Middle) 2. Trans. Date 10/21/2014 3. Trans. Code (Instr. 8) Code V S(1) 4. Trans. Code (Instr. 8) Code V Securities Code (Instr. 8) Code (I | (First) (Middle) 3. Date of Earliest Transaction (Noted) (Street) 4. 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Date of Earliest Transaction (MM/DD/YYYY) 10/21/2014 10 |

Explanation of Responses:

- (1) Sale of 428 shares of common stock to cover withholding taxes on restricted stock units released. The sale was affected by a broker pursuant to instructions set forth in a Rule 10b5-1 plan adopted by the Reporting Person and delivered to the broker on February 25, 2014.
- (2) This balance includes 73,386 unvested shares subject to restricted stock units.

Reporting Owners

| reporting o where | | | | | | | | | | |
|---|----------|---------------|------------------------------|-------|--|--|--|--|--|--|
| Reporting Owner Name / Address | | Relationships | | | | | | | | |
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | | | |
| Ramos Anthony Alan 10390 PACIFIC CENTER COURT SAN DIEGO, CA 92121 | | | VP, Chief Accounting Officer | • | | | | | | |

Signatures

Anthony Ramos 10/22/2014 Date

^{**} Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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