

# VICAL INC Reported by SAMANT VIJAY B

# FORM 4

(Statement of Changes in Beneficial Ownership)

# Filed 01/14/14 for the Period Ending 01/10/14

Address 10390 PACIFIC CENTER COURT

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SAN DIEGO, CA 92121-4340

Telephone 858-646-1100

CIK 0000819050

Symbol VICL

SIC Code 2836 - Biological Products, Except Diagnostic Substances

Industry Biotechnology & Drugs

Sector Healthcare

Fiscal Year 12/31





[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE **COMMISSION**

Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP **OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol							ng Sym		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
SAMANT VIJAY B				V	VICAL INC [ VICL ]													
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)							DD/YYY	Y)	_ X _ Dire			10% Owner	
														X Officer (give title below) Other (specify below)			r (specify	
10390 PACIFIC CENTER COURT					1/10/2014									President & CEO				
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)							ed		6. Individual or Joint/Group Filing (Check Applicable Line)				
SAN DIEGO, CA 92121														V Form filed by One Reporting Person				
(City) (State) (Zip)													_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1.Title of Security 2			2. Tra Date	Exec Date		Code (Instr. 8)		A Di	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and		or Fo	(Instr. 3 and 4) Fo		Ownership Form: Direct (D)	Beneficial Ownership			
						any	Code	v	Aı		(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Common Stock \$.01 par value				1/10/2	2014		P		33	(1)	A	\$1.42		962211			D	
Common Stock \$.01 par value				1/11/2	2014		F		2	<b>2623</b> (2)	D	\$1.42		959588			D	
Common Stock \$.01 par value				1/11/2	7398 D \$1.42 952190				D									
Common Stock \$.01 par value				1/13/2	2014		F		1	1 <b>464</b> (4)	D	\$1.34	950726 <sup>(5)</sup>			D		
Tabl	le II - Dei	rivative	Securitio	es Be	nefi	cially O	wned (	( e.g	ζ.,	, puts,	cal	lls, war	rants	s, options	, convert	ible secur	rities)	
1. Title of Derivate Security (Instr. 3)	2.	3. Trans. 3A. Deemed	3A. Deemed Execution Date, if	4. Trans	5 . E S . A	. Number of Derivative ecurities acquired (A) Disposed of Instr. 3, 4 ar	f 6. I and	6. Date Exercisable and Expiration Date			7. Title and An Securities Und Derivative Sec (Instr. 3 and 4)		nount of erlying	8. Price of Derivative	9. Number of derivative Securities Beneficially Owned Following Reported	Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr.	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D) Dat	-	able	Expira e Date	ation	Title		ount or ober of res		Transaction (s) (Instr. 4)	4)	
Nonstatutory Stock Option (right to buy)	\$1.42	1/10/2014		A		500000		(6)		1/9/20	)24	Common Stock	n	500000	\$0	2809000	D	

### **Explanation of Responses:**

- (1) Shares were acquired pursuant to a restricted stock grant and generally vest one-third on the first anniversary of the grant, with the remainder vesting quarterly over the remaining two years.
- (2) Represents shares withheld for taxes upon the release of restricted stock granted on January 11, 2010.
- (3) Represents shares withheld for taxes upon the release of restricted stock granted on January 11, 2013.
- (4) Represents shares withheld for taxes upon the release of restricted stock granted on January 13, 2012.
- (5) This balance includes 464,450 of unvested restricted stock units.
- (6)The right to exercise the above stock option is tied to performance-based objectives relating to the timing of patient enrollment and

positive data release for the Company's Phase 1/2 HSV-2 clinical trial. Mr. Samant's salary remained unchanged at 2013 levels and he received no cash bonus.

**Reporting Owners** 

Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
SAMANT VIJAY B 10390 PACIFIC CENTER COURT	X		President & CEO				
SAN DIEGO, CA 92121							

### **Signatures**

Sandy R. Medina (via Power of Attorney)	1/14/2014
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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